

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KRILTZ et al.

Atty. Ref.: 3691-582

Serial No. To be Assigned

Group:

Filed: August 22, 2003

Examiner:

For: HEAT TREATABLE COATED ARTICLE WITH TIN OXIDE  
INCLUSIVE LAYER BETWEEN TITANIUM OXIDE AND  
SILICON NITRIDE

\* \* \* \* \*

August 22, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- |                                     |    |   |                 |
|-------------------------------------|----|---|-----------------|
| <input checked="" type="checkbox"/> | 1. | <b>PTO-1449 Pursuant to 37 CFR 1.97(b)</b><br>[within 3 months of filing or prior to 1st Office Action] | <i>N/C</i>      |
| <input type="checkbox"/>            | 2. | <b>Statement Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action and Allowance]               | <i>N/C</i>      |
| <input type="checkbox"/>            | 3. | <b>Fee Payment Pursuant to 37 CFR 1.97(c)</b><br>[before Final Office Action and Allowance]             | <i>\$180.00</i> |
| <input type="checkbox"/>            | 4. | <b>Pursuant to 37 CFR 1.97(d)</b><br>[before Issue Fee payment]   | <i>\$180.00</i> |

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- ☒ 5. A list of documents on Form PTO-1449 is enclosed herewith.

This paper is submitted in accordance with:

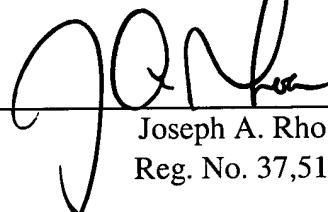
- ☒ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]

- ☐ 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
- ☐ b) The required Statement is stated in item 9 below.
- ☐ 9. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

**NIXON & VANDERHYTE P.C.**

By: \_\_\_\_\_

  
Joseph A. Rhoa  
Reg. No. 37,515

JAR:caj

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**INFORMATION DISCLOSURE  
CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

3691-582

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APPLICANT

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FILING DATE

August 22, 2003

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,576,349	6/2003	Lingle et al.			
	US2003/0104221	6/2003	Stachowiak			
	6,495,251	12/2002	Arbab et al.			
	6,398,925	6/2002	Arbab et al.			
	5,425,861	6/1995	Hartig et al.			
	6,132,881	10/2000	Hartig et al.			
	5,557,462	9/1996	Hartig et al.			
	5,376,455	12/1994	Hartig et al.			
	5,298,048	3/1994	Lingle et al.			
	6,159,607	12/2000	Hartig et al.			
	5,800,933	9/1998	Hartig et al.			
	6,014,872	1/2000	Hartig et al.			
	5,688,585	11/1997	Lingle et al.			
	6,045,896	4/2000	Boire et al.			
	4,786,783	11/1988	Woodard			
	US2001/0041252	11/2001	Laird			
	5,821,001	10/1998	Arbab et al.			
	6,495,263	12/2002	Stachowiak			
	6,475,626	11/2002	Stachowiak			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

	U.S. Patent Application S.N. 10/400,080, filed March 27, 2003
	U.S. Patent Application S.N. 10/636,918, filed August 8, 2003
	U.S. Patent Application S.N. 10/337,383, filed January 7, 2003
	U.S. Patent Application S.N. 10/337,384, filed January 7, 2003
*Examiner	Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

### INFORMATION DISCLOSURE CITATION

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~~APPLICANT~~

KRILTZ et al.

(Use several sheets if necessary)

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**GROUP**

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent pages, etc.)

*Examiner		Date Considered	

\*Examiner

Date Considered

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Form PTO-FB-A820 (Also PTO-1449)